

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 1512.2.146 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO (If known, see 37 CFR 1.5) **CONCERNING A FILING UNDER 35 U.S.C. 371** 10/526,892 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/GB2003/003786 September 1, 2003 September 3, 2002 **BALL OPERATED BY-PASS TOOL FOR USE IN DRILLSTRING**

INTERNATIONAL APPLICATION NO. TITLE OF INVENTION APPLICANT(S) FOR DO/EO/US Paul Bernard Lee Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. X This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. I is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is 12. included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 -1.825. 18. A second copy of the published international application under 25 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: Certificate of Mailing

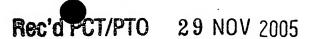
This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (If known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/GB2003/003786 1512.2.146 10/526.892 CALCULATIONS PTO USE ONLY The following fees have been submitted 21. Basic national fee\$300 22.

Examination fee If international preliminary examination report prepared by USPTO and all claims satisfy \$ All other situations\$200 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100 International Search Report prepared and provided to the Office\$400 \$ All other situations\$500 TOTAL OF 21, 22 and d23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 pages or fraction thereof. Number of each additional 50 or fraction Extra Sheets RATE **Total Sheets** thereof (round up to a whole number) 1.50 =X \$250 - 100 = Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the \$130.00 earliest claimed priority date (37 CFR 1.492(e)). CLAIMS NUMBER FILED NUMBER EXTRA **RATE** - 20 = x \$50 **Total Claims** \$ Independent Claims - 3 = x \$200 \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) x \$360 \$ **TOTAL OF ABOVE CALCULATIONS** \$ 🔀 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are \$ reduced by 1/2. \$65.00 Processing fee of \$130 for furnishing the English translation later than 30 months from the \$ earliest claimed priority date (37 CFR 1.492(f)). **TOTAL NATIONAL FEE** \$65.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property TOTAL FEES ENCLOSED = \$65.00 12/02/2005 GFREY1 00000101 10526892 Amount to be 01 FC:2617 refunded: 65.00 OP Amount to be charged: __ to cover the above fee is enclosed. A check in the amount of \$ ___ Please charge my Deposit Account No. ______ in the amount of \$_____ to cover the above fees. A duplicate of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-0763 . A duplicate of this sheet is enclosed. Fees are to be charged to a credit card WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: MADSON & METCALF 15 West South Temple, Suite 900 Salt Lake City, Utah 84101 United States of America Telephone: (801) 537-1700 Evan R. Witt Facsimile: (801) 537-1799 NAME REGISTRATION NUMBER



IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

Appl. No.

: 10/526,892

Applicant

Paul Bernard Lee

Title

BALL OPERATED BY-PASS TOOL FOR USE IN

DRILLSTRING

Filed

March 3, 2005

TC/A.U.

(not yet assigned)

Examiner

(not yet assigned)

Docket No.

1512.2.146

Customer No.

21552

21552

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label No.: EO 914 740 117 US

Date of Deposit: November 29, 2005

Dear Sir:

I hereby certify that the following items are being deposited with the United States Postal Service as "Express Mail Post Office Addressee" service under 37 CFR 1.10 in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, Alexandria, VA 22313-1450, on November 29, 2005:

- 1. Patent Declaration;
- 2. Credit card form for the amount of \$65.00;
- 3. Copy of Notification of Missing Requirements; and
- 4. Transmittal Letter.

Respectfully submitted,

Evan R. Witt Reg. No. 32,512

Attorney for Applicant

Date: November 29, 2005

MADSON & METCALF Gateway Tower West 15 West South Temple, Suite 900 Salt Lake City, Utah 84101 Telephone: 801/537-1700





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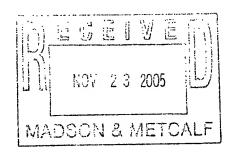


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. BOX 1450 Alexandria, Virginia 22313-1450

	www.uspto.gov	
U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY, DOCKET NO.
10/526,892	Paul Bernard Lee	1512.2.146

Madson & Metcalf 15 West South Temple Suite 900 Salt Lake City, UT 84101



INTERNATIONAL APPLICATION NO.

PCT/GB03/03786

I.A. FILING DATE PRIORITY DATE

09/01/2003 09/03/2002

CONFIRMATION NO. 7327
371 FORMALITIES LETTER
OC000000017429190

Date Mailed: 11/17/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- · Indication of Small Entity Status
- Copy of the International Application filed on 03/03/2005
- Copy of the International Search Report filed on 03/03/2005
- Preliminary Amendments filed on 03/03/2005
- Information Disclosure Statements filed on 04/07/2005
- U.S. Basic National Fees filed on 03/03/2005
- Priority Documents filed on 03/03/2005
- Specification filed on 03/03/2005
- Claims filed on 03/03/2005
- Abstracts filed on 03/03/2005
- Drawings filed on 03/03/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

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By ON 1/22 S

RESPONSE DUE 1/11 THE

Total additional fees required for this application is \$65 for a Small Entity:

• \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

TAMALA D HOLLAND

Telephone: (703) 308-9140 EXT 209

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/526,892	PCT/GB03/03786	1512.2.146

FORM PCT/DO/EO/905 (371 Formalities Notice)